



# HOW CAAF helps members thrive

The Canadian Audit and Accountability Foundation (CAAF) is dedicated to promoting and strengthening public sector performance audit, oversight, and accountability in Canada and abroad through research, education, and knowledge sharing. CAAF delivers value to its members by providing resources, research and training to help them excel in their roles.



## SAVE TIME

CAAF's *Audit News* database includes over 7,000 audit documents from nearly 60 audit institutions, providing members with a one-stop shop for audit documentation – saving them precious research time.



## STAY CURRENT

CAAF publishes a wide variety of research and methodology products, including guides, discussion papers, tips and more. Our publications provide guidance on the performance audit process, information on how technological innovation affects the audit profession, and good practices for parliamentary oversight committees.



## EXPAND KNOWLEDGE

Members have access to regular complimentary webinars as well as a wide range of training opportunities at a reduced fee. They can request a dedicated course tailored to their specific needs or join a course which is open for registration.



## BE HEARD

Institutional members have the opportunity to influence the direction of CAAF research and comment on draft research reports and guidance documents.



## STRENGTHEN ACCOUNTABILITY

CAAF offers orientation workshops to provide newly appointed parliamentary oversight committees with an understanding of their unique and important role. We also provide tailored workshops to improve effectiveness in many aspects of committee work and develop research products, tools and good practices to further support parliamentarians.



## DEVELOP LEADERSHIP SKILLS

CAAF is committed to helping shape the leaders of tomorrow through our Emerging Leaders Program, which builds capacity in both leadership and critical thinking, as well as our Leading Successful Audits course, which teaches audit leaders what they need to do to make an audit successful.

CANADIAN AUDIT  
& ACCOUNTABILITY  
FOUNDATION

100-1505 Laperrriere Ave  
Ottawa, ON K1Z 7T1

Tel: 613-241-6713  
info@caaf-fcar.ca  
caaf-fcar.ca



# HOW CAAF keeps members informed

We advance performance audit knowledge and practices by undertaking research, developing methodology, and providing timely information on new trends and practices.



## PRACTICE GUIDES

CAAF's guides include peer-reviewed audit methodology designed to help performance auditors plan, carry out, and report on their audits. Current topics include:

- ▶ Oversight
- ▶ Efficiency
- ▶ Gender Equality
- ▶ Mining Revenues and Financial Assurances for Site Remediation
- ▶ Oil and Gas Revenues and Financial Assurances for Site Remediation
- ▶ Sampling Methodology for Performance Audits **\*Coming Soon\***



## DISCUSSION PAPERS

CAAF's discussion papers provide information and stimulate discussion, experimentation, and sharing about emerging topics or challenging issues in public sector performance auditing. Current topics include:

- ▶ Root Cause Analysis
- ▶ Impact of Performance Audits
- ▶ Auditing Public-Private Partnerships
- ▶ Audit Selection and Multi-Year Planning
- ▶ Environmental Performance Audits



## RESEARCH HIGHLIGHTS

This series explores important trends or emerging risks that may impact audit offices and their practices. Current topics include:

- ▶ Blockchain
- ▶ Citizen Engagement
- ▶ Artificial Intelligence
- ▶ Organizational Culture

## OTHER PUBLICATIONS



### VOICES FROM THE FIELD

Feature articles in which senior auditors from Canada and other countries share their expertise, innovations and good practices on important performance audit topics.



### COLLABORATING FOR CHANGE

A series of publications that provides civil society organizations and audit offices with the information, strategies and tools they need to collaborate with each other.



### AUDIT TIPS

Practical advice on a variety of themes presented in a short, succinct format.



# HOW CAAF helps you succeed

CAAF courses are offered to members at a reduced fee. You can choose from our in-person or virtual options and can tailor a course to meet your specific needs. Courses are highly interactive, with a mix of instruction, discussion, exercises and videos, and are taught by our cadre of professional teaching associates, who are leaders in their field.

**\*NEW\*** Courses can now be delivered both in-person 🧑 and virtually 🖥!

## LEARN THE BASICS

**Fundamentals of Performance Audit** 🧑 ..... 4 days

Learn basic concepts related to the entire performance audit process with this introductory level course, including audit selection, planning, examination, and reporting.

**Performance Audit Boot Camp** 🖥 ..... 4 x 3.5 hours

A shorter version of our Fundamentals course that is delivered exclusively online.

**Effective Report Writing** 🧑🖥 ..... 3 days

Learn the principles of effective audit report writing including how to prioritize information and synthesize data. **\*Virtual coming soon!\***

## PERFECT YOUR SKILLS

**Leading Successful Audits** 🧑🖥 ..... 3 days

Understand the key elements of a successful audit and what audit leaders need to do to make an audit successful. **\*Virtual coming soon!\***

**Evidence Collection and Analysis** 🧑🖥 ..... 2 days

Understand how to design an evidence collection strategy, make professional judgments on sufficiency and appropriateness of evidence, and the potential pitfalls in preparing reports that align with evidence. **\*Virtual coming soon!\***

**Root Cause Analysis** 🧑🖥

Develop better audit recommendations and learn hands-on tools that will enhance audit quality and allow you to address the underlying causes of observed deficiencies.

In-person ..... 2 days

Virtually ..... 4 x 3.5 hours

## DIVE DEEPER INTO AUDIT TOPICS

**Auditing Gender Equality** 🧑🖥 ..... 3 days

Learn about gender mainstreaming, the process for including gender equality dimensions in performance audits, and the steps and challenges in designing an appropriate audit plan on gender equality. **\*Virtual coming soon!\***

## WEBINARS

CAAF also offers complimentary webinars to its members. They address the newest performance audit ideas, tools and practices.

To request a dedicated course or to inquire about our open courses, visit [www.caaf-fcar.ca/en/performance-audit/training](http://www.caaf-fcar.ca/en/performance-audit/training) or write to [training@caaf-fcar.ca](mailto:training@caaf-fcar.ca).



# HOW CAAF saves members time



## AUDIT NEWS DATABASE

A valuable tool for performance audit professionals, the *Audit News* database, updated monthly, gives access to thousands of performance audit reports and related documents published by audit institutions in Canada and abroad over the last 10 years. This database and its search engine provide members with a one-stop shop for publicly available audit documentation that allows them to rapidly find what auditors from various jurisdictions have previously done on numerous topics of interest.



## PERFORMANCE AUDIT GUIDANCE INVENTORY

CAAF's *Performance Audit Guidance Inventory* is a unique compilation of audit guidance documents published by over 55 legislative audit offices from around the world over the past decade. The guidance documents are presented under 22 different thematic categories and links are provided to rapidly access each document. The *Inventory* is updated every six months.



## FOCUS ON

A publication series based on analysis of audit reports contained in the *Audit News* database. Each edition summarizes audit practices on a topic of interest to performance auditors, helping them rapidly understand how auditors from various jurisdictions have audited a given topic and what they have found. Previous topics include:

- ▶ Information Technology Security
- ▶ Efficiency
- ▶ Human Resources Management
- ▶ Large Procurement Projects



## FEATURED AUDITS

Each edition profiles a selected performance audit from a Canadian audit institution, highlighting interesting findings, audit techniques used, and lessons learned.





# HOW CAAF helps improve accountability

Our parliamentary oversight offerings include a mix of research products, good practices, and workshops and tools designed to help oversight committees increase their effectiveness. CAAF, in collaboration with Auditors General, provides support to legislators to become familiar with their role and improve the effectiveness of their committees.



## WORKSHOPS

CAAF offers individualized workshops (in person and virtually) to federal, provincial, municipal and international oversight committees. Each workshop includes a self-assessment questionnaire to help identify areas of improvement and topics to address.



## GUIDANCE AND DISCUSSION PAPERS

Our research and methodology support oversight committees in becoming more effective in carrying out their responsibilities. Our guides provide good practices based on comprehensive research that oversight committee members can use to maintain and improve their effectiveness, and our discussion papers offer new ideas and insights to stimulate discussion on important oversight and accountability issues. Publications include:

- ▶ How Researchers Can Strengthen Oversight
- ▶ Accountability in Action: Good Practices for Public Accounts Committees (PACs)
- ▶ Sharing International Practices – A Profile of the legislative oversight committee in Scotland
- ▶ Parliamentary Oversight – Committees and Relationships
- ▶ 2018 Survey of PACs in Canada
- ▶ Building and Sustaining Effective Auditor General–PAC Relationships
- ▶ Municipal Oversight **\*Coming Soon\***



## OTHER PUBLICATIONS

CAAF offers a variety of publications that highlight good practices, explore important trends and provide practical advice that can easily be applied. CAAF publications include [quick reference sheets](#), [oversight tips](#), [featured practices](#) and [pocket cards](#) that are designed to give a brief overview of important topics such as: effective questions, cross-party collaboration, and role of oversight committee members.



## CONSULTATION SERVICE

Have a question that you need help answering? CAAF offers consultation services to oversight committee members, parliamentary staff and audit offices who need assistance in finding available research or information on a certain topic. This service is offered at no additional cost to Canadian member jurisdictions.

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