

## Annex A

### CSO Collaboration with Audit Offices: Pre-Engagement Questionnaire

Complete this questionnaire to determine your capacity to engage with your audit office and identify potential factors that can facilitate or impede this collaboration.

AREA FOR CONSIDERATION	QUESTIONS TO ASK
<p><b>The audit office's prior audit reports and experience auditing the SDGs</b></p>	<ul style="list-style-type: none"> <li>▶ Has the audit office already conducted an audit of preparedness for implementation of SDGs or an SDG audit?</li> <li>▶ Does the audit office's plan indicate it intends to audit SDG topics in the coming years?</li> <li>▶ Are there audits planned, underway, or recently completed that pertain to your area of expertise?</li> </ul> <hr/> <p>NOTES</p>
<p><b>The audit office's experience in engaging with CSOs</b></p>	<ul style="list-style-type: none"> <li>▶ What level is the audit office at (level I to III) with respect to engagement with CSOs? (<a href="#">Refer to p. 6</a>)</li> <li>▶ What is the audit office's history of engaging with CSOs?</li> </ul> <hr/> <p>NOTES</p>
<p><b>The audit office mandate and model</b></p>	<ul style="list-style-type: none"> <li>▶ What is the audit office's legal and constitutional mandate and model?</li> <li>▶ Does the audit office conduct performance audits?</li> <li>▶ Are the audit office's recommendations binding or non-binding?</li> </ul> <hr/> <p>NOTES</p>

## Annex A – CSO Collaboration with Audit Offices: Pre-Engagement Questionnaire

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AREA FOR CONSIDERATION	QUESTIONS TO ASK
<p><b>How to include the audit office in your stakeholder engagement strategy</b></p> <hr/> <p>NOTES</p>	<ul style="list-style-type: none"> <li>▶ What level (national, provincial/state, municipal) of audit office should you engage with?</li> <li>▶ What are the goals and benefits in collaborating with the audit office?</li> <li>▶ How do you want to engage with your audit office? What would be the best channels of communication?</li> </ul>
<p><b>Your CSO's place within the audit office's stakeholder engagement strategy</b></p> <hr/> <p>NOTES</p>	<ul style="list-style-type: none"> <li>▶ Where does your CSO sit within the audit office's stakeholder engagement strategy or stakeholder mapping?</li> <li>▶ Are there people or organizations in your direct networks who sit higher within the audit office's engagement strategy? Can you leverage these connections to partner with the audit office?</li> </ul>

## Annex A – CSO Collaboration with Audit Offices: Pre-Engagement Questionnaire

Continued

AREA FOR CONSIDERATION	QUESTIONS TO ASK
<p><b>Your CSO's capacity</b></p>	<ul style="list-style-type: none"> <li>▶ Do you have staff resources to take on this collaboration role? Who will be your point person? Do they have knowledge of the performance audit process?</li> <li>▶ Do you have financial resources for this collaboration?</li> <li>▶ Have you addressed this potential collaboration in other plans, such as operational plans, communications plans, or strategic plans?</li> <li>▶ Are there other CSOs or actors that can support you when reaching out to the audit office?</li> <li>▶ Do you have strong linkages with other government agencies that work with the audit office and can help connect you?</li> <li>▶ Considering your CSO's financial and human resources, what types of collaboration opportunities are you capable of?</li> </ul> <hr/> <p><b>NOTES</b></p>
<p><b>Entry points and champions</b></p>	<ul style="list-style-type: none"> <li>▶ Is there an existing framework governing the collaboration between audit offices and CSOs?</li> <li>▶ Does the audit office have a mailing list you can request to be placed on?</li> <li>▶ Is there a <i>Right to Information Act</i>, or similar legislation, in your country you can use to request access to audit plans if they are not already published?</li> <li>▶ Does the audit office have a focal point, such as a stakeholder relations officer, public affairs officer and/or a communication officer?</li> <li>▶ Which staff members have been involved in recent audits of SDGs?</li> <li>▶ Are there other actors, such as donors, accountability institutions, regional audit office networks, or other CSOs, that could facilitate collaboration?</li> </ul> <hr/> <p><b>NOTES</b></p>